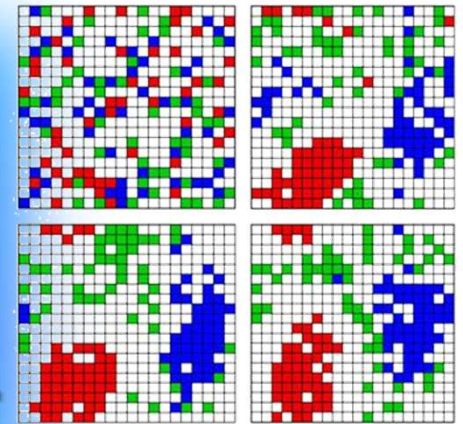
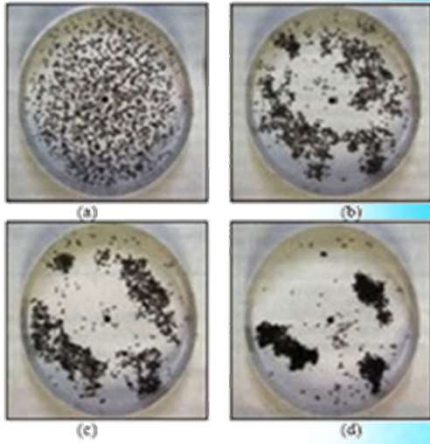


lecture 13



Collective Behavior

exploring similarities across nature

- **self-similar structures**
 - Trees, plants, clouds, mountains
 - morphogenesis
 - Mechanism
 - Iteration, recursion, feedback
- **dynamical systems and unpredictability**
 - From limited knowledge or inherent in nature?
 - Mechanism
 - Chaos, measurement
- **self-organization, collective behavior, emergence**
 - Complex behavior from collectives of many simple units or agents
 - cellular automata, dynamical networks, morphogenesis, swarms, brains, social systems
 - Mechanism
 - Parallelism, multiplicity, multi-solutions, redundancy
- **evolution**
 - Adaptation, learning, social evolution
 - Mechanism
 - Reproduction, transmission, variation, selection, Turing's tape
- **Collective behavior Behavior derived from many inseparable sources**
 - Multi-level selection, swarm intelligence, immune system, anticipatory systems, brain-body-environment-culture, embodiment, epigenetics, culture
 - Mechanism
 - Network causality, modularity, control, hierarchy, connectivity, stigmergy, redundancy



dumb agents, intelligent collective

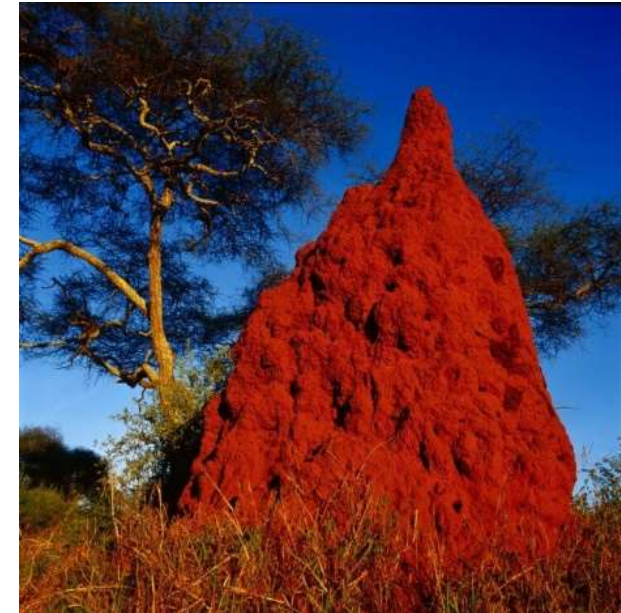
■ Bio-inspired methodology for solving distributed problems

- biological examples
 - social insects
 - ants, termites, bees, wasps
 - swarming, flocking, herding behaviors in vertebrates.

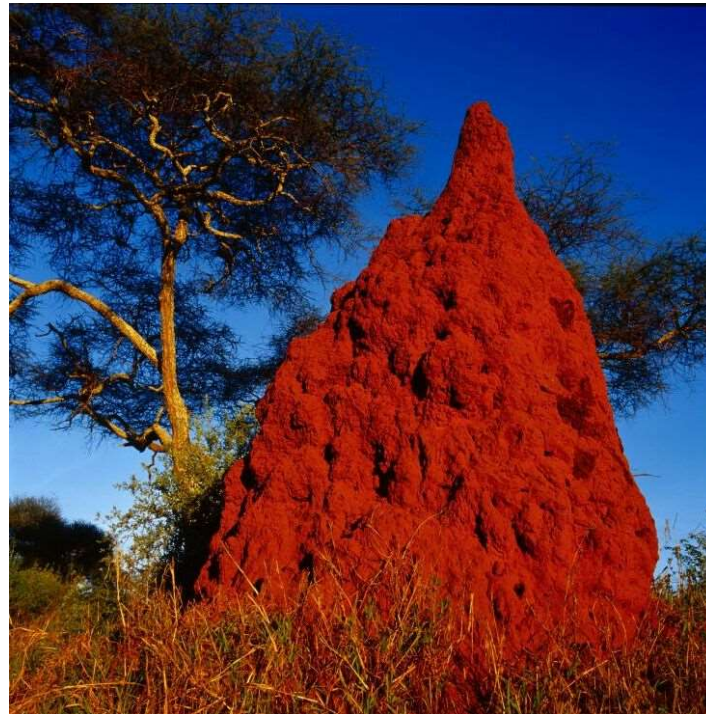
■ Collective behavior algorithms

- Distributed or decentralized control
 - No central control or agent
- Local communication among agents
- Self-organization
 - Simple agents, complicated emergent behavior
- Robust
 - To individual loss
- Adaptive and Flexible
 - Capability to respond to perturbations

- stigma + ergon = mark + work
- Process of communication by changing environment
 - Pheromone trails
 - Nest Building
 - Termites use a simple rule:
 - Each agent scoops up a 'mudball' and covers it with pheromones
 - Others are attracted by pheromone and are therefore more likely to drop their own mudballs near their neighbors
- Introduced by Pierre-Paul Grassé in 1959
 - "Stimulation of workers by the performance they have achieved."
 - Regulation of behavior (and constructions) is dependent on the behavior of others and the environment they build
 - Worker is guided by work
 - Used in optimization algorithms
 - Stigmergy: Ant colony algorithms
 - Flocking behavior: Particle Swarm Optimization



natural achievements



natural achievements



Aimless bots

- Very simple Agents that primarily wander around randomly
 - Mitchell Resnick
- Rules
 - **Wander** aimlessly until bumping into a wood chip (Random walk)
 - If carrying a wood chip, drop it and *wander*
 - Else, pick chip up and *wander*

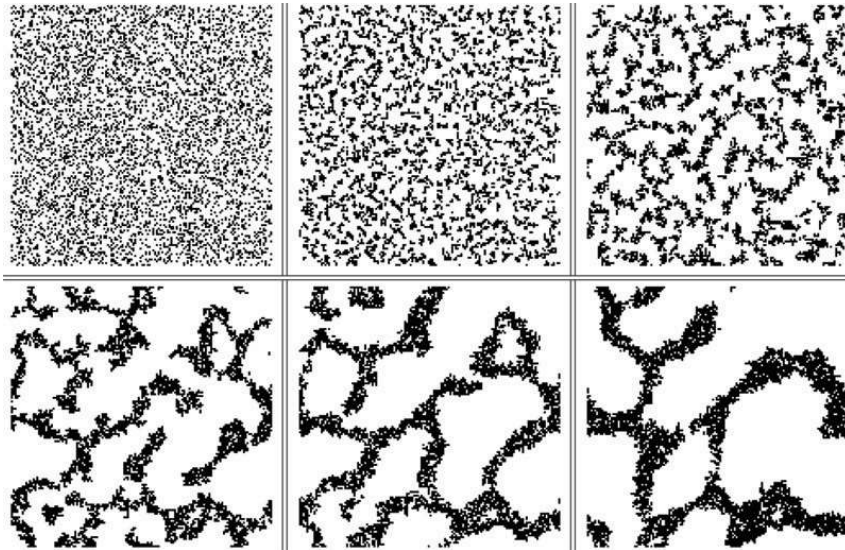


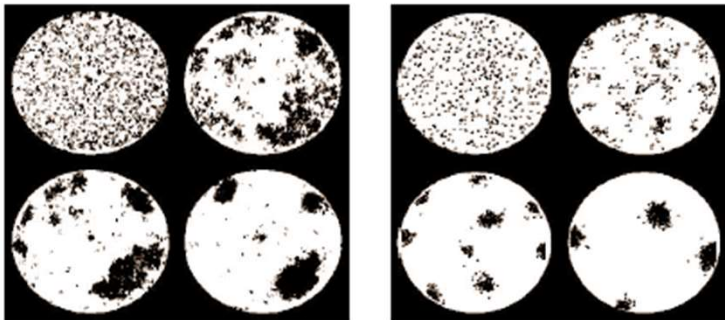
Figure by Gary Flake in *The Computational Beauty of Nature*.

Probabilistic cleaning

■ Very simple rules for colony clean up

- **Pick dead ant.** if a dead ant is found pick it up (with probability inversely proportional to the quantity of dead ants in vicinity) and wander.
 - **Drop dead ant.** If dead ants are found, drop ant (with probability proportional to the quantity of dead ants in vicinity) and wander.

Real and Simulated Ants Clustering



Real ants *Messor sancta* build clusters starting from randomly located corpses

Simulated ants build clusters starting from randomly located items

See Also: J. L. Deneubourg, S. Goss, N. Franks, A. Sendova-Franks, C. Detrain, L. Chretien. "The Dynamics of Collective Sorting Robot-Like Ants and Ant-Like Robots". *From Animals to Animats: Proc. of the 1st Int. Conf. on Simulation of Adaptive Behaviour*. 356-363 (1990).

Figure by Marco Dorigo in *Real ants inspire ant algorithms*

Clustering by collective or swarm robots

- **Becker et al Rules**
 - **Move:** with no sensor activated move in straight line
 - **Obstacle avoidance:** if obstacle is found, turn with a random angle to avoid it and **move**.
 - **Pick up and drop:** Robots can pick up a number of objects (up to 3)
 - If shovel contains 3 or more objects, sensor is activated and objects are dropped. Robot backs up, chooses new angle and **moves**.
- **Results in clustering**
 - The probability of dropping items increases with quantity of items in vicinity

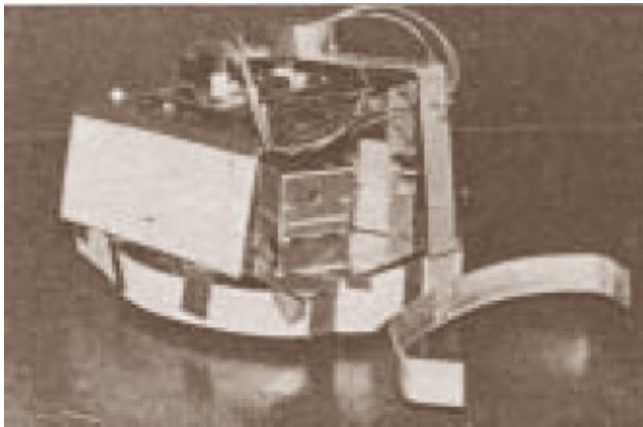
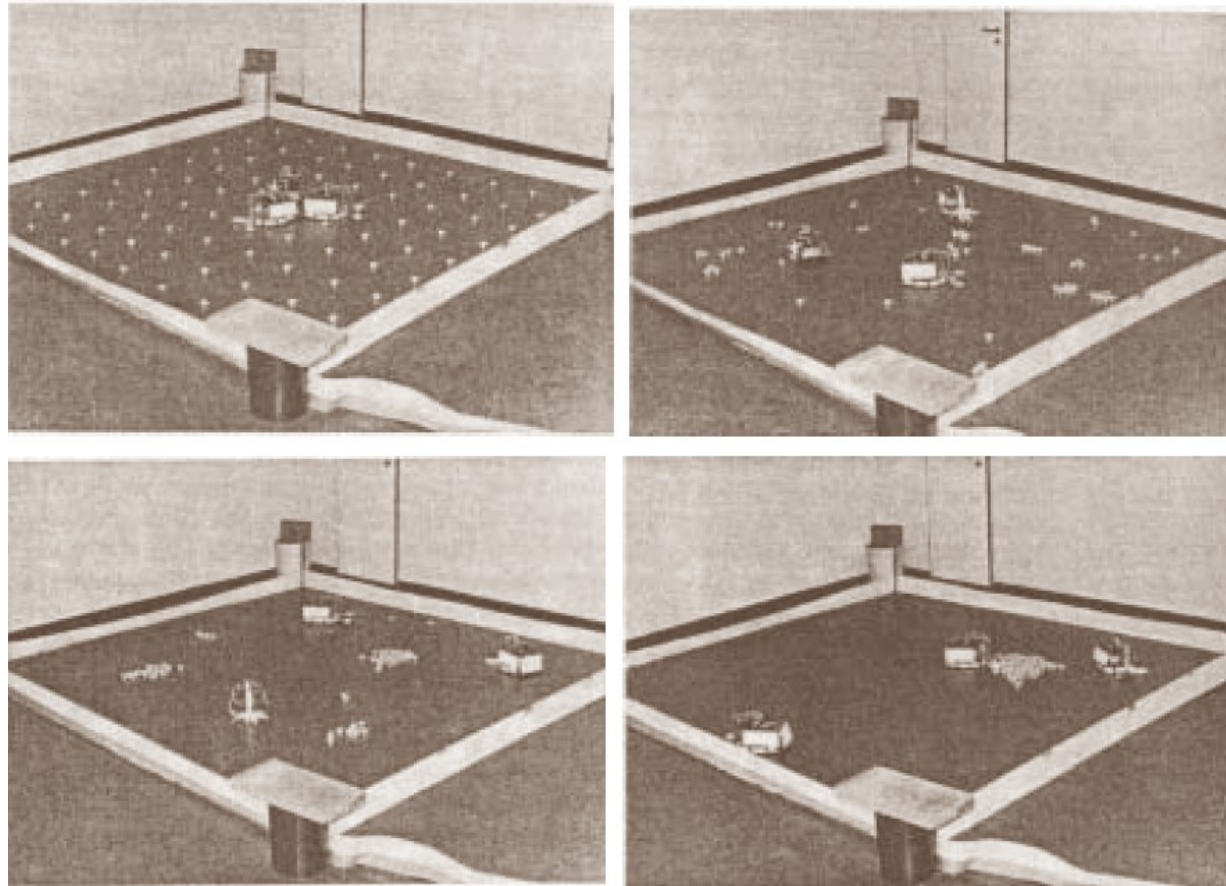


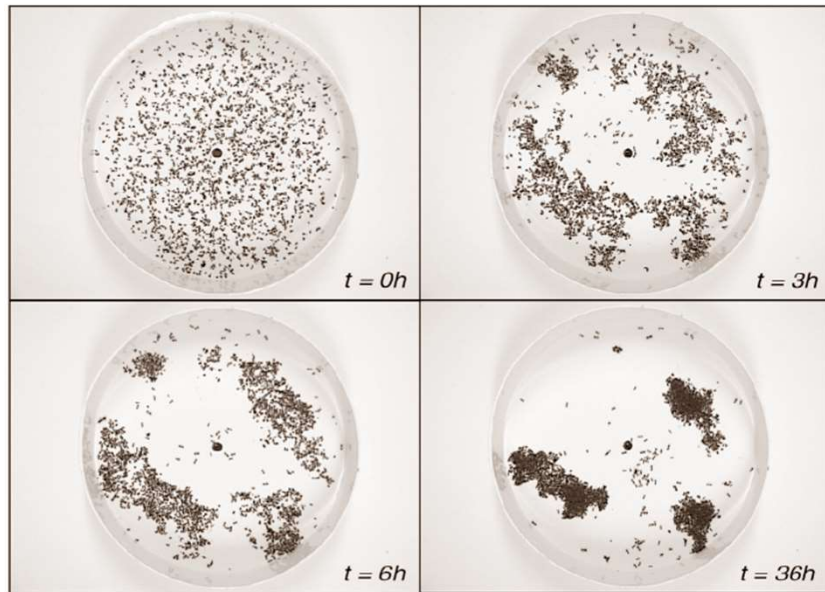
Figure from R Beckers, OE Holland, and JL Deneubourg [1994]. "From local actions to global tasks: Stigmergy and collective robotics". In *Artificial Life IV*.

becker et al experiments

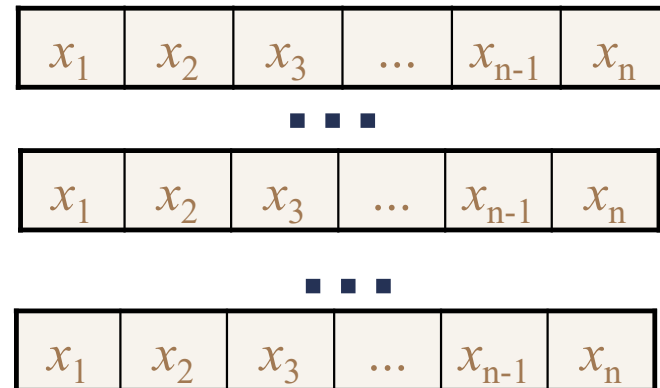


based on dead body cleaning

- Very simple rules for colony clean up
 - **Pick dead ant.** if a dead ant is found pick it up (with probability inversely proportional to the quantity of dead ants in vicinity) and wander.
 - **Drop dead ant.** If dead ants are found, drop ant (with probability proportional to the quantity of dead ants in vicinity) and wander.



Data vector: X



Cluster data (N samples) according to ant clean up rules

Lumer, E. D. and Faieta, B. 1994. Diversity and adaptation in populations of clustering ants. In *From Animals To Animats 3*, pp. 501-508.

ant clustering algorithm (ACA)

using thresholds

Clustering rules

- **Pick data sample**
If there are few similar
- **Drop data sample.**
If there are many similar



Probability of dropping

$$p_d(\mathbf{x}_i) = \left(\frac{f(\mathbf{x}_i)}{k_2 + f(\mathbf{x}_i)} \right)^2$$

$$p_d(\mathbf{x}_i) = \begin{cases} 2f(\mathbf{x}_i) & \text{if } f(\mathbf{x}_i) < k_2 \\ 1 & \text{otherwise} \end{cases}$$

Neighborhood Similarity or density measure

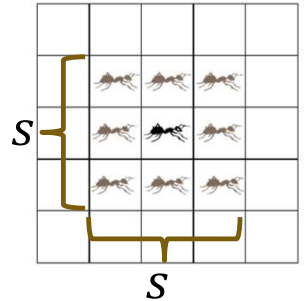
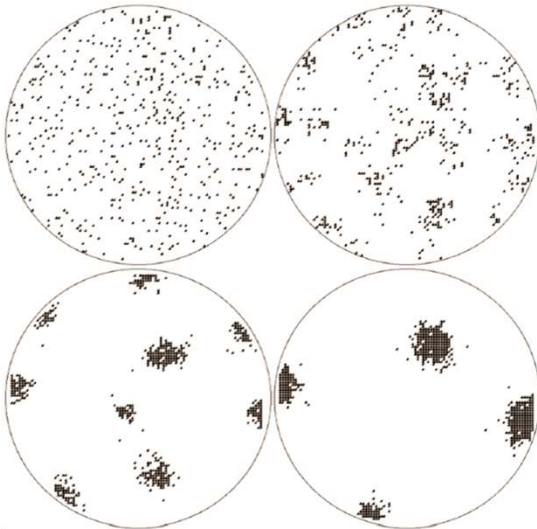
$$f(\mathbf{x}_i) = \begin{cases} \frac{1}{s^2} \sum_{\mathbf{x}_j \in \text{Neigh}(s \times s)} \left(1 - \frac{D(\mathbf{x}_i, \mathbf{x}_j)}{\alpha} \right) & \text{if } f > 0 \\ 0 & \text{otherwise} \end{cases}$$

Discrimination factor

Probability of picking up

$$p_p(\mathbf{x}_i) = \left(\frac{k_1}{k_1 + f(\mathbf{x}_i)} \right)^2$$

Threshold



Reduces dimensionality
No a priori number of clusters
Overshoots number of clusters

$$D(\mathbf{x}_i, \mathbf{x}_j) = \sqrt{\sum_{k=1}^n (x_{i,k} - x_{j,k})^2}$$

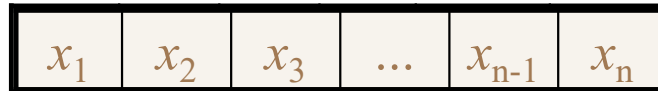
Improved with

Different moving speeds, Short-term memory,
Behavioral switches
Cooling cycle for thresholds, progressive vision,
pheromone reinforcement

for multivariate data

Group n-dimensional data samples in 2-dimensional grid

Data vector: X_1



Data vector: X_2



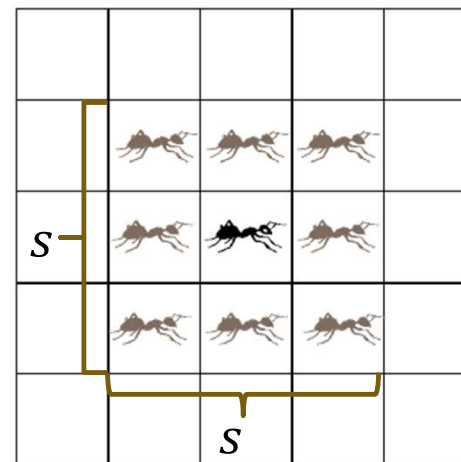
Ants see data points in a certain neighborhood

s^2 : area of neighborhood
(side s , radius 1)

Distance between two data samples
(in original multivariate space):

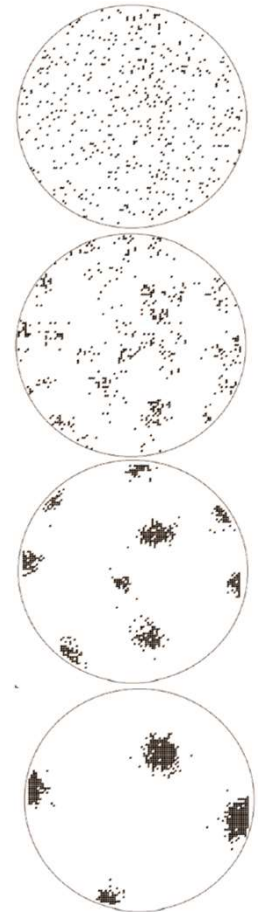
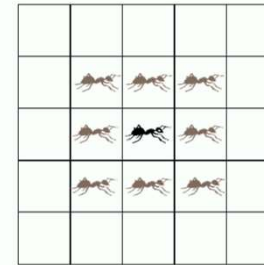
$$D(\mathbf{x}_i, \mathbf{x}_j) = \sqrt{\sum_{k=1}^n (x_{i,k} - x_{j,k})^2}$$

e.g. Euclidean



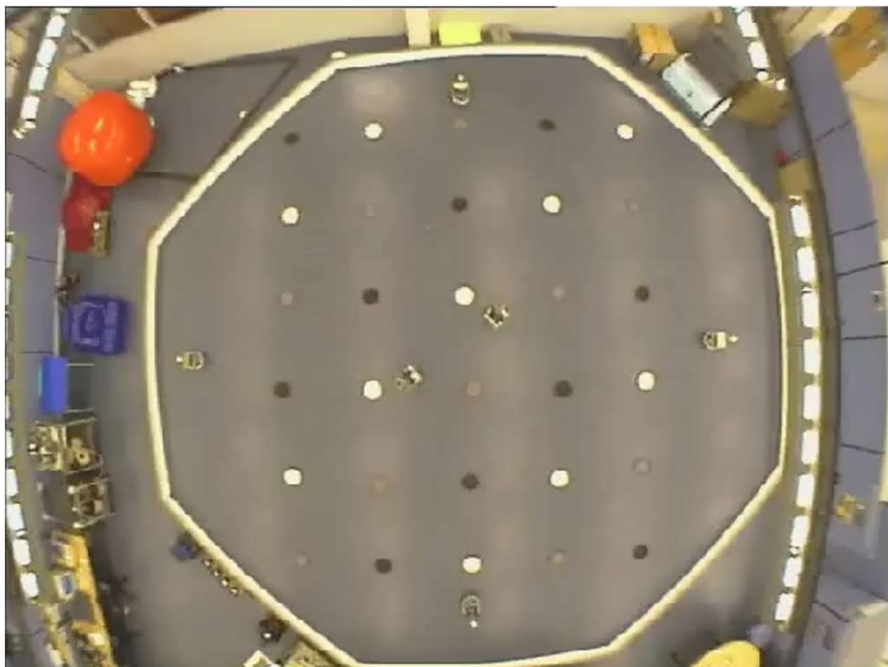
The workings

1. Project high-dimensional data items onto 2-dimensional grid randomly
2. Distribute N ants randomly on grid
3. repeat
 - For every ant i in colony
 - Compute neighborhood density $f(x_i)$
 - **If** ant i is unloaded and its cell is occupied with data item x_i then pick up x_i with probability $p_p(x_i)$
 - **Else if** ant i is loaded with x_i and its cell is empty drop x_i with probability $p_d(x_i)$
 - Move randomly to neighbor cell with no ant
4. Until maximum iterations



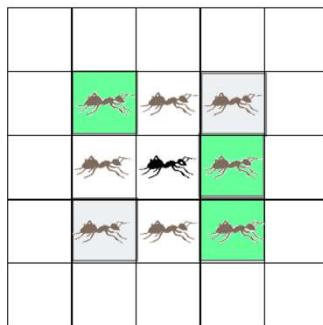
Inspired by brood sorting

- Same principle as Clustering
- **Pick data sample of type t**
If there are few of type t
 - **Drop data sample of type t**
If there are many of type t



$$p_p(\mathbf{x}_i | t) = \left(\frac{k_1}{k_1 + f_t(\mathbf{x}_i)} \right)^2$$

Probability of picking up item of type t



$$p_d(\mathbf{x}_i | t) = \left(\frac{f_t(\mathbf{x}_i)}{k_2 + f_t(\mathbf{x}_i)} \right)^2$$

Probability of dropping item of type t

$$f_t(\mathbf{x}_i) = \begin{cases} \frac{1}{s^2} \sum_{\mathbf{x}_j \in \text{Neigh}_t(s \times s)} \left(1 - \frac{D(\mathbf{x}_i, \mathbf{x}_j)}{\alpha} \right) & \text{if } f > 0 \\ 0 & \text{otherwise} \end{cases}$$

Neighborhood density of type t

sorting swarm-robots

based on ant algorithm



Holland O. & Melhuish C. (1999) "Stigmergy, Self-organisation, and Sorting in Collective Robotics" *Journal of Adaptive Behaviour* . 5(2).

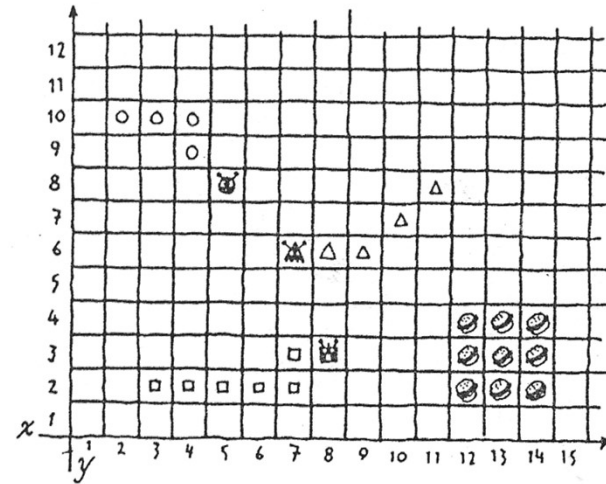
See Also: J. L. Deneubourg, S. Goss, N. Franks, A. Sendova-Franks, C. Detrain, L. Chretien. "The Dynamics of Collective Sorting Robot-Like Ants and Ant-Like Robots". *From Animals to Animats: Proc. of the 1st Int. Conf. on Simulation of Adaptive Behaviour*. 356-363 (1990).

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Bristol Robotics Laboratory.

Artificial ecosystems

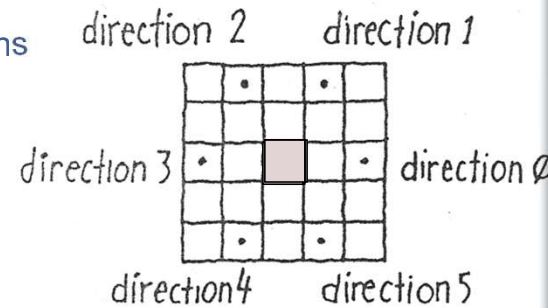
- Automata with diverse characteristics
 - Bugs have an identity separate from the world
 - Bug: data structure and set of rules
 - World: Arena for information exchange plus set of rules



- ☹- is a bug at $\langle 5, 8 \rangle$ with a trail of 4 cells
- ☹- is a bug at $\langle 7, 6 \rangle$ with a trail of 4 cells
- ☹- is a bug at $\langle 8, 3 \rangle$ with a trail of 6 cells
- is food markings

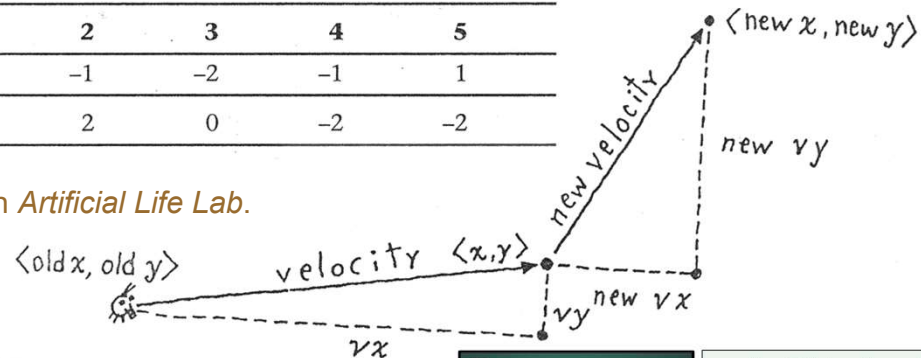
Figure by Rudy Rucker in *Artificial Life Lab*.

- Automata with diverse characteristics
 - Bugs have an identity separate from the world
 - Bug: data structure and set of rules
 - World: Arena for information exchange plus set of rules
- Typical bug implementation
 - ID#
 - Transition tables, rules of operations
 - Position in world
 - Fitness value
 - State (e.g. mood)
 - Velocity
 - Speed and direction
 - Group membership



	0	1	2	3	4	5
Change in X	2	1	-1	-2	-1	1
Change in Y	0	2	2	0	-2	-2

Figures by Rudy Rucker in *Artificial Life Lab*.

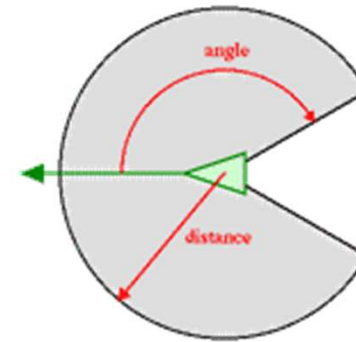
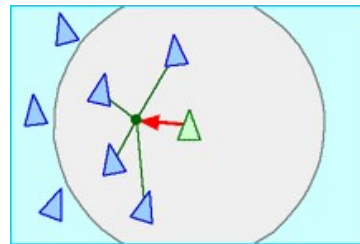
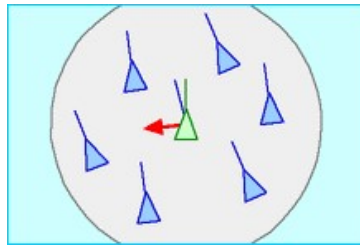
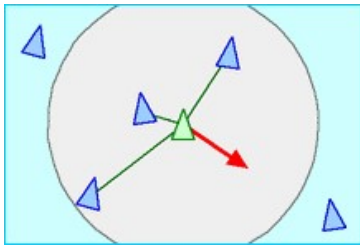


simple rules, complex behavior

■ Boids by Craig Reynolds (1986)

● 3 Steering behaviors

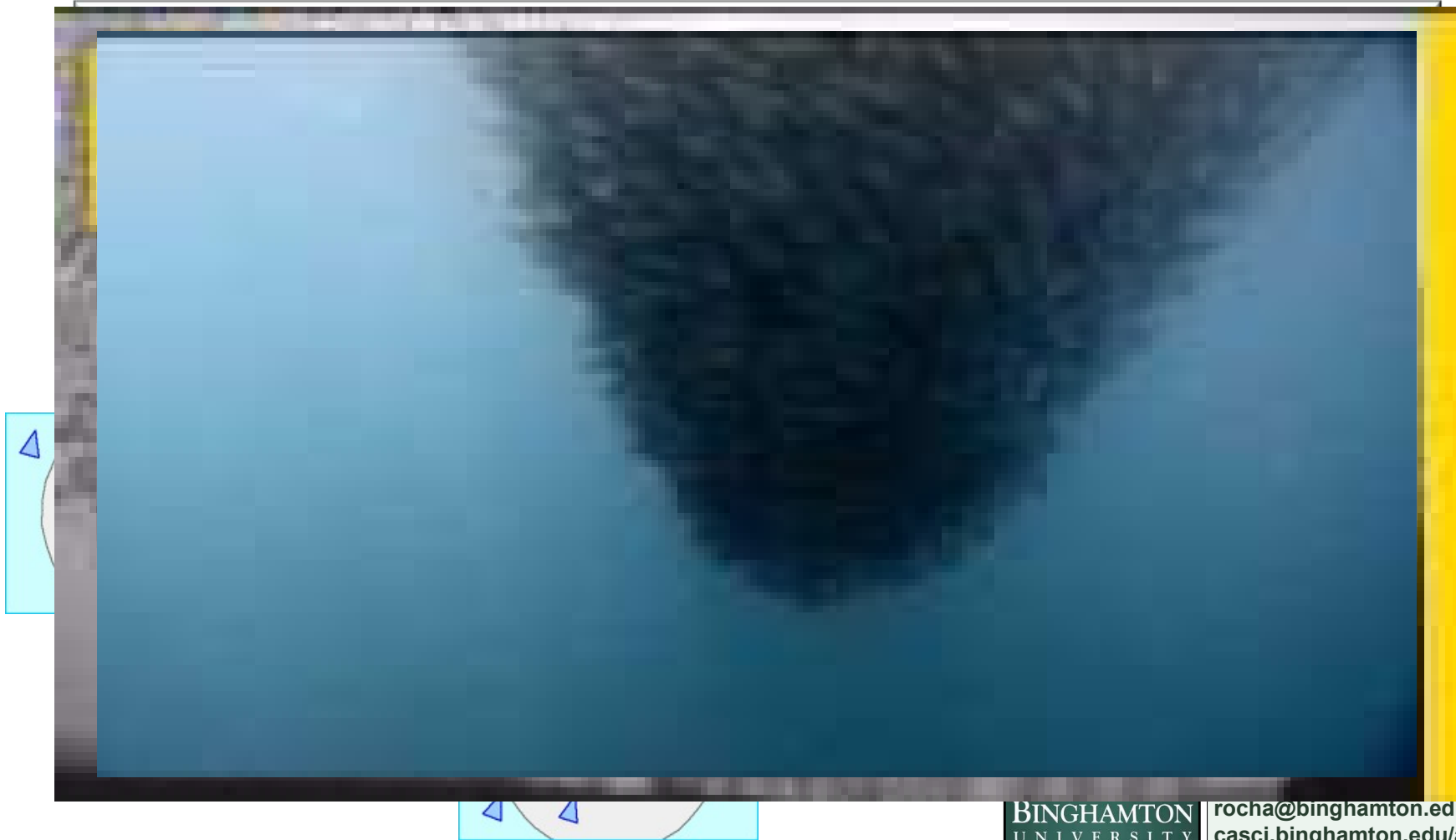
- **Alignment:** move towards the average heading of local flockmates
 - Adjust velocity direction according to others in vicinity
 - **Separation:** steer to avoid crowding local flockmates
 - Maintain minimum distance to others (adjusting speed)
 - **Cohesion:** steer to move toward the average position of local flockmates
 - Adjust velocity direction according to others in vicinity
- Each boid sees only flockmates within a certain small neighborhood around itself.
- <http://www.red3d.com/cwr/boids/>



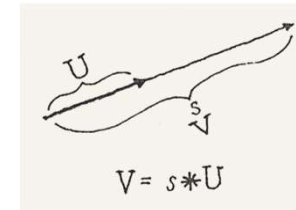
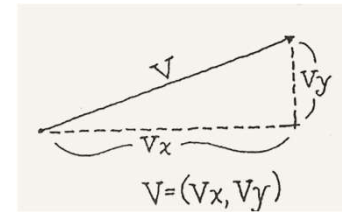
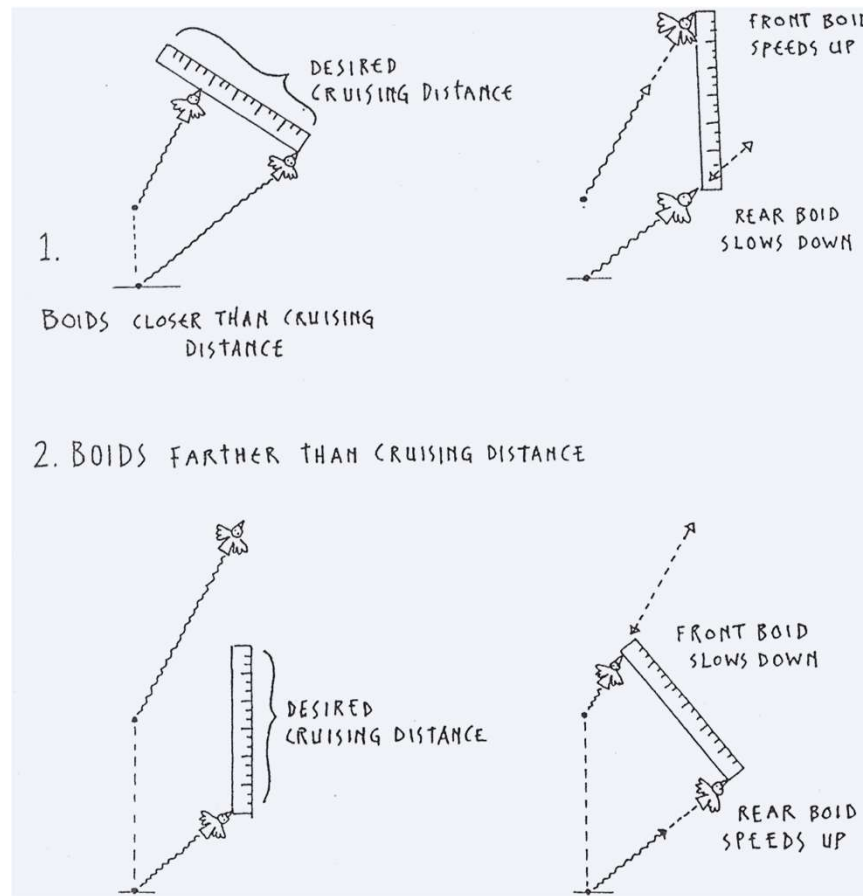
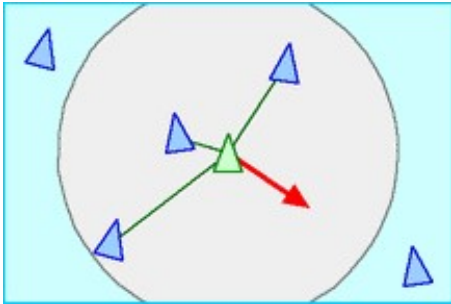
simple rules, complex behavior



simple rules, complex behavior

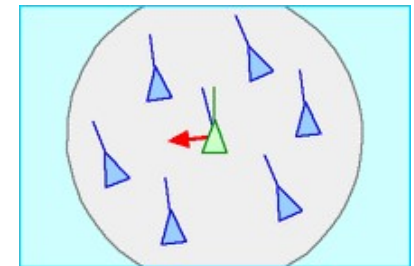
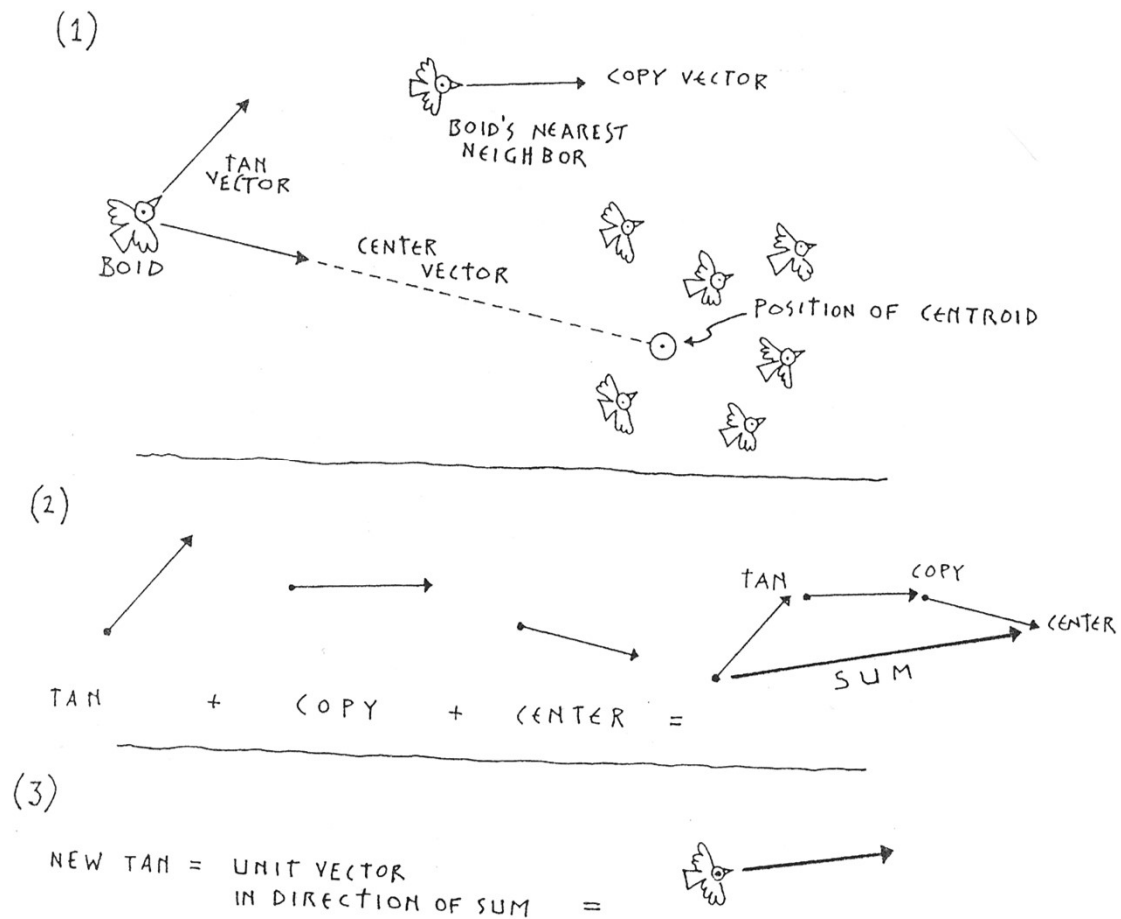


Separation: maintain minimum distance adjusting speed



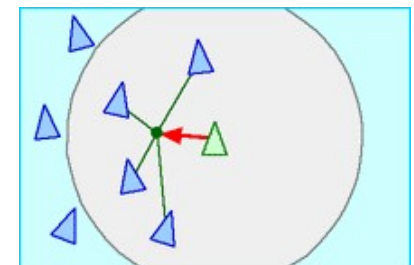
Separation: move (speed up or slow down) move to avoid crowding and attain desired cruising distance with local flockmates

velocity vector update



Alignment: steer towards the average heading of local flockmates

Cohesion: steer to move toward the average position of local flockmates

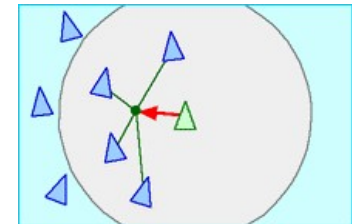
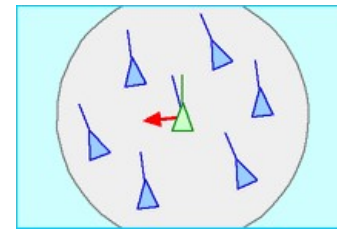
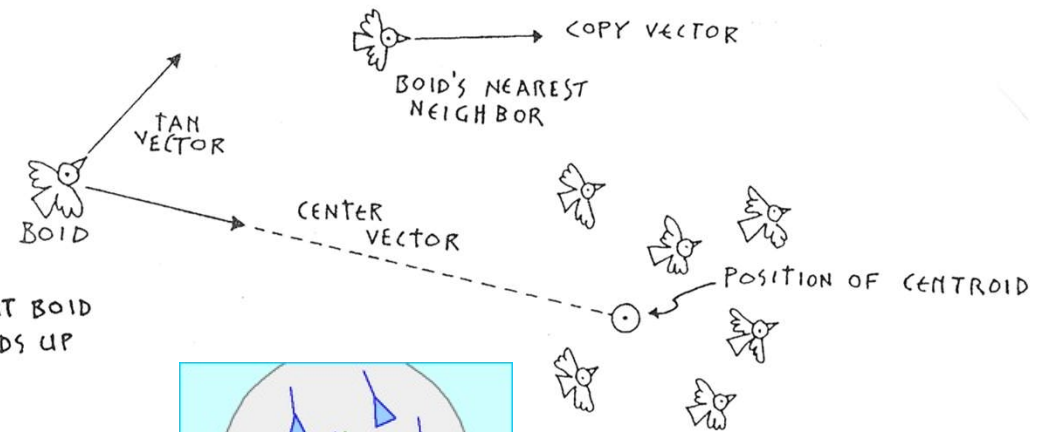
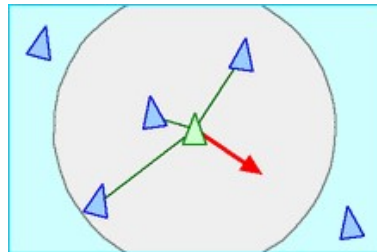
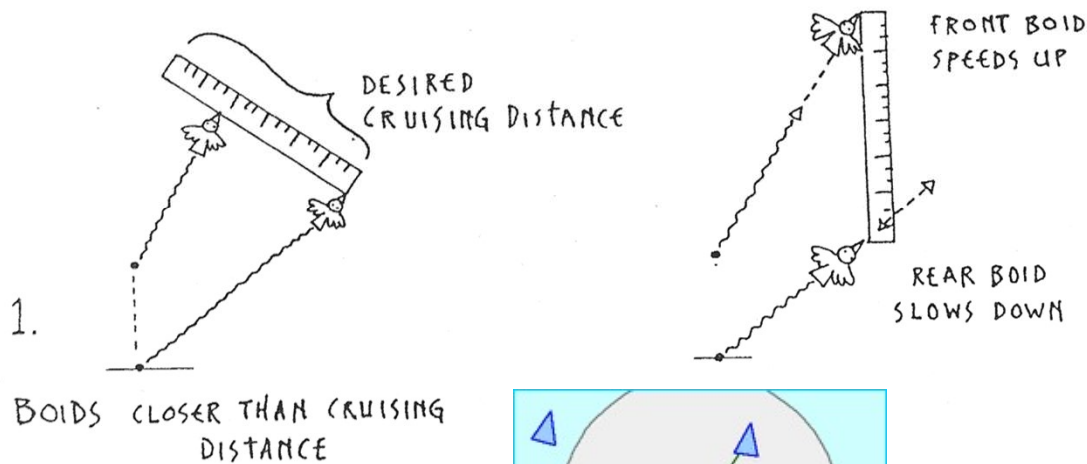


Boids

■ Boids by Craig Reynolds (1986)

● 3 Steering behaviors

- **Separation:** move (speed up or slow down)
 - to avoid crowding and attain desired cruising distance with local flockmates
- **Alignment:** steer towards the average heading of local flockmates
 - Adjust velocity according to others in vicinity
- **Cohesion:** steer to move toward the average position of local flockmates

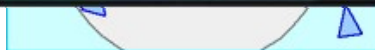
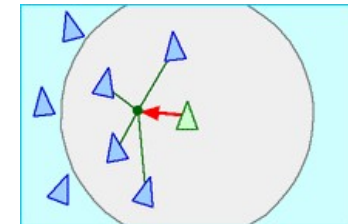
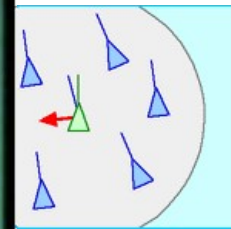
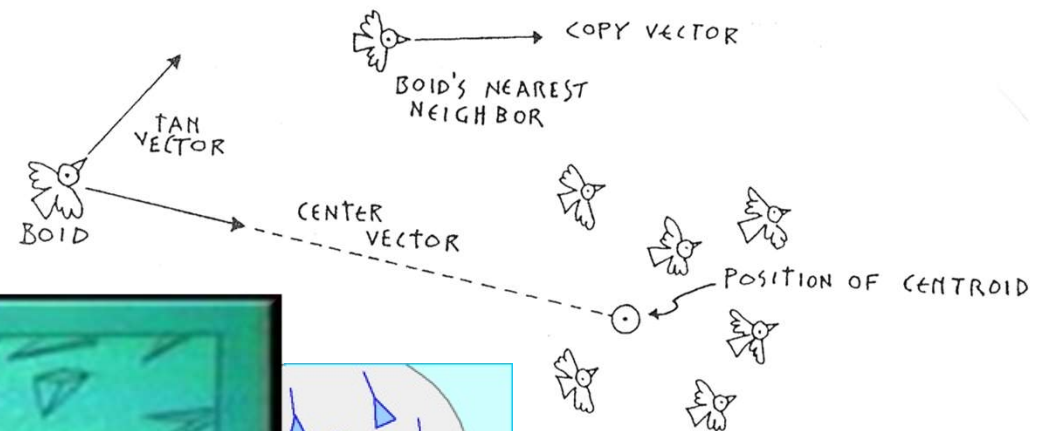
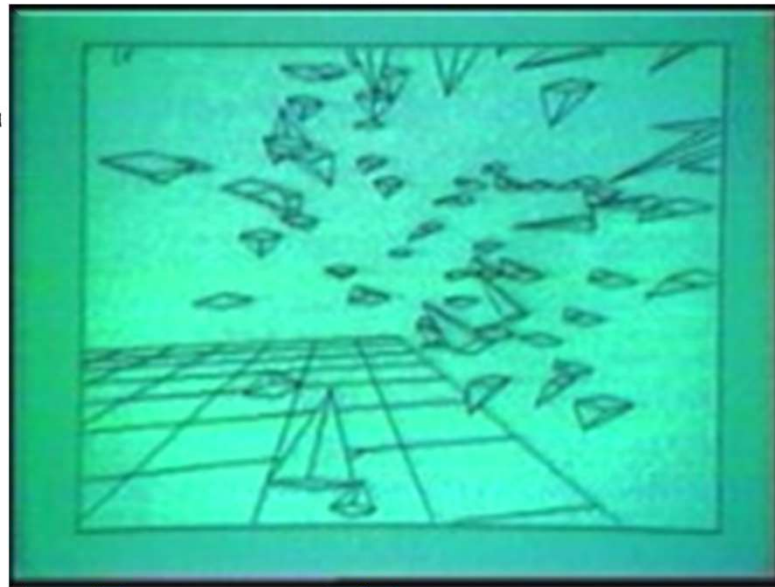
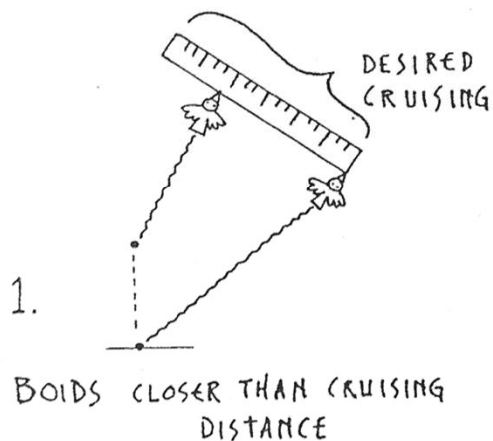


Boids

■ Boids by Craig Reynolds (1986)

● 3 Steering behaviors

- **Separation:** move (speed up or slow down)
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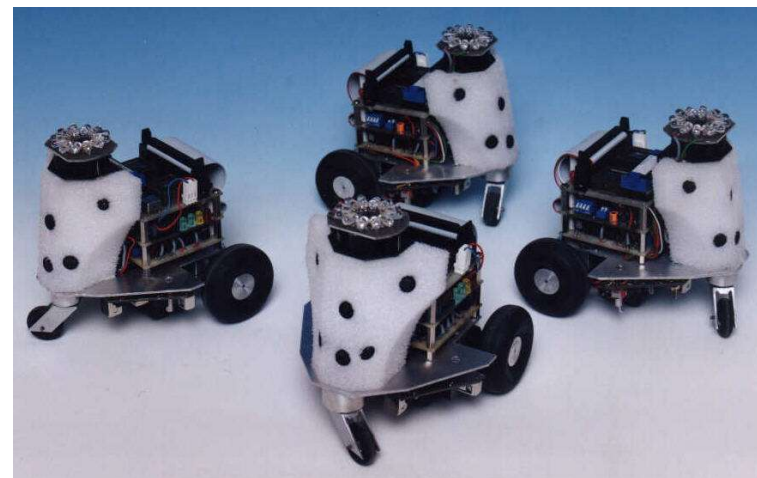


classics

- **Batman Returns**
 - to simulate bats and penguins
- **Cliffhanger**
 - Simulation of bats
- **Jurassic Park**
 - Simulation of gallamunus herd
- **The Lion King**
 - Scene of wildbeast stampede
- **Jumanji**
 - Stampede of zoo animals
- **Star Trek Voyager "Elogium"**
 - Simulation a swarm of space creatures



based on boids



Cybernetic Intelligence Research Group,
University of Reading, England

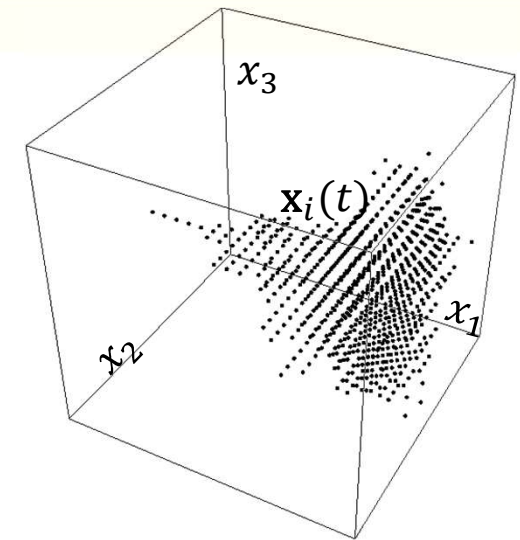


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social flocking

- **Search by flocking**
 - Social flocks looking for good positions
 - Metaphor: food, resources
- **Agents flock according to social knowledge of**
 - Their best position so far
 - The best position of the swarm or local neighbors
 - Not necessarily neighbors in search space but in some social structure (e.g. one dimensional lattice)
- **Algorithm**
 - Generate a random population of *particles*
 - $\mathbf{x}_i(t)$ --- vector of variables (similar to genotypes)
 - The position of agent i is \mathbf{x}_i moving with velocity vector \mathbf{v}_i
 - $\mathbf{x}_i(t+1) = \mathbf{x}_i(t) + \mathbf{v}_i(t+1)$
 - Velocity update rule
 - w : inertia constant
 - c_1 and c_2 : constants
 - r_1 and r_2 : random values in $[0,1]$



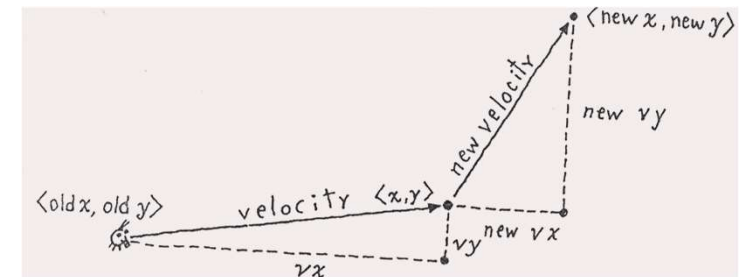
$$\mathbf{v}(t+1) = w \cdot \mathbf{v}(t) + c_1 \cdot r_1 (\hat{\mathbf{x}} - \mathbf{x}(t)) + c_2 \cdot r_2 (\hat{\mathbf{x}}_s - \mathbf{x}(t))$$

↓

Agent best so far
(cognitive term)

↓

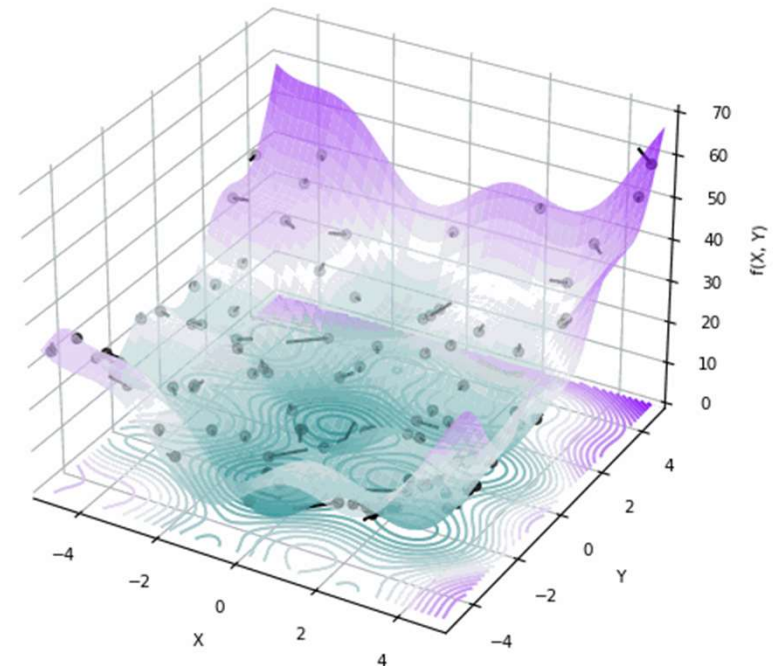
Swarm best (social term)



The workings

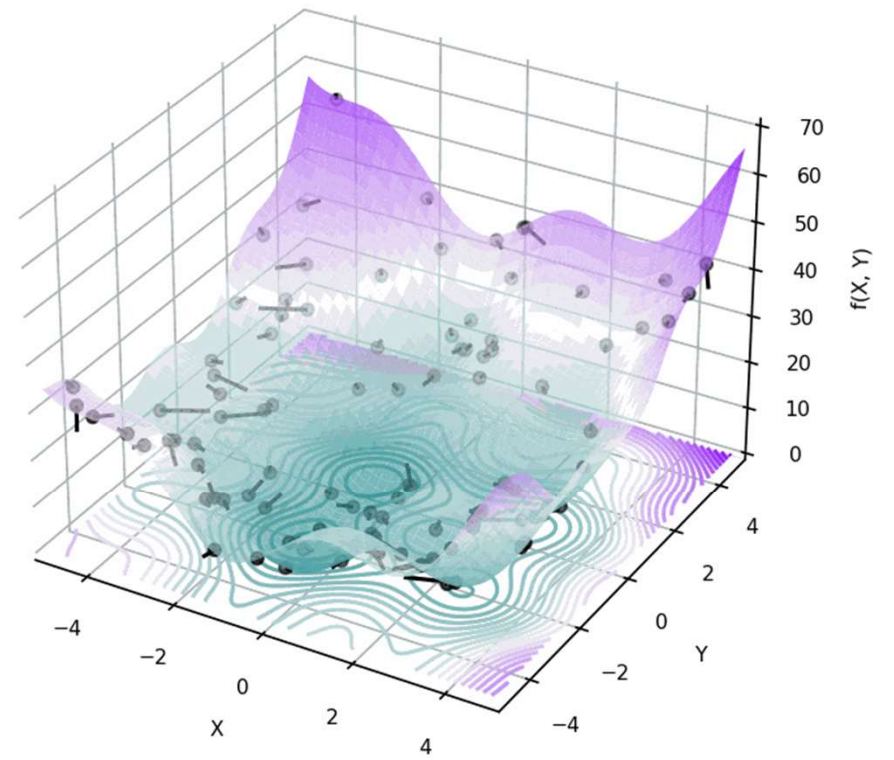
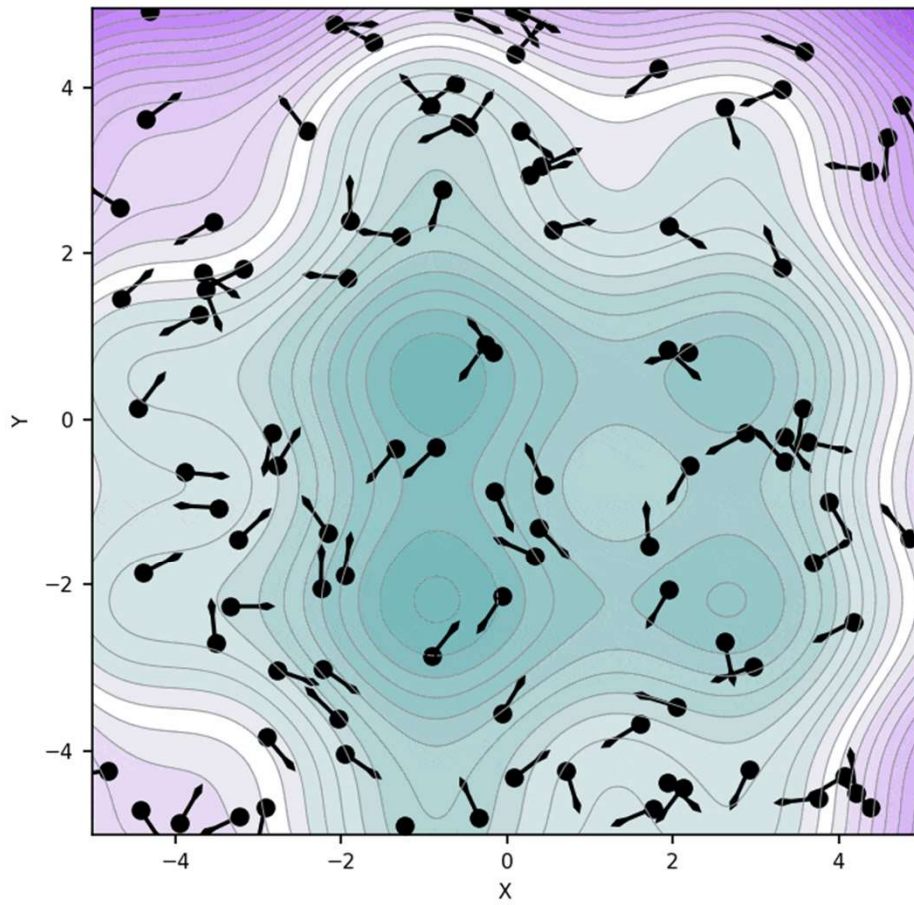
1. Generate random population of particles in search space
2. Generate random velocity vectors for each particle
3. Repeat (t++)
 - For every particle i in population
 - If $f(\mathbf{x}_i(t)) > f(\hat{\mathbf{x}}_i)$ then $\hat{\mathbf{x}}_i = \mathbf{x}_i(t)$
 - Compute $\hat{\mathbf{x}}_s$
 - $\mathbf{v}_i(t+1) = w \cdot \mathbf{v}_i(t) + c_1 \cdot r_1 (\hat{\mathbf{x}} - \mathbf{x}_i(t)) + c_2 \cdot r_2 (\hat{\mathbf{x}}_s - \mathbf{x}_i(t))$
 - $\mathbf{x}_i(t+1) = \mathbf{x}_i(t) + \mathbf{v}_i(t+1)$
4. Until maximum iterations

[1/100] w:0.800 - c₁:3.500 - c₂:0.500



Axel Thevenot [2020]. "Particle Swarm Optimization (PSO) Visually Explained". *Towards Data Science*.

[1/100] $w:0.800 - c_1:3.500 - c_2:0.500$



readings

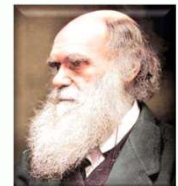
■ Class Book

- Floreano, D. and C. Mattiussi [2008]. *Bio-Inspired Artificial Intelligence: Theories, Methods, and Technologies*. MIT Press.

■ Chapter 7

■ Lecture notes

- Chapter 1: What is Life?
- Chapter 2: The logical Mechanisms of Life
- Chapter 3: Formalizing and Modeling the World
- Chapter 4: Self-Organization and Emergent Complex Behavior
- Chapter 5: Reality is Stranger than Fiction
- Chapter 6: Von Neumann and Natural Selection
- Chapter 7: Modeling Evolutionary Systems
 - posted online @ casci.binghamton.edu/academics/i-bic



■ Papers and other materials

● Optional

- Nunes de Castro, Leandro [2006]. *Fundamentals of Natural Computing: Basic Concepts, Algorithms, and Applications*. Chapman & Hall.
 - Chapter 5, 7.7, 8.3.1, 8.3.6,